

04-20-00

FORM PTO-1082

jc711 U.S. PTO



04/19/00

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Express Mail Label No. EL399233704US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gary K. Michelson, M.D.

Serial No: N/A

Filed: April 3, 2000

For: ARTIFICIAL HEMI-LUMBAR INTERBODY SPINAL
IMPLANT HAVING AN ASYMMETRICAL LEADING
END AND METHOD FOR INSTALLATION THEREOF

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

jc564 U.S. PTO
09/553573
04/19/00

Dear Sir:

Transmitted herewith for filing is the patent application identified above.

- ☒ 6 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.
- ☒ 37 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☐ An assignment of the invention to ☐ is enclosed ☐ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 & 1.27 is enclosed.
- ☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☐ A certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☒ IDS enclosed (☒ with references).
- ☐ Preliminary Amendment is enclosed.

CALCULATION OF FEES

| ITEM | | TOTAL NO. OF CLAIMS | | NO. OF CLAIMS OVER BASE | LG/SM \$ ENTITY FEE | | \$ AMOUNT | \$ FEE |
|------|--|---------------------|-----|-------------------------|--|------|-----------|----------|
| A | TOTAL CLAIMS FEE | 100 | -20 | 80 | LG=\$18 SM=\$9 | \$18 | \$1,440 | |
| B | INDEPENDENT CLAIMS FEE* | 3 | -3 | 0 | LG=\$78 SM=\$39 | \$78 | \$ 0 | |
| C | SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) | | | | | | | \$ 1,440 |
| D | MULTIPLE-DEPENDENT CLAIMS FEE | | | | LARGE ENTITY FEE = \$260 SMALL ENTITY FEE = \$130 | | | \$ 0 |
| E | BASIC FEE | | | | LARGE ENTITY FEE = \$690 SMALL ENTITY FEE = \$345 | | | \$ 690 |
| F | TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) | | | | | | | \$ 2,130 |
| G | ASSIGNMENT RECORDING FEE | | | | | | \$ 40 | \$ 0 |
| | *LIST INDEPENDENT CLAIMS [LIST OF INDEPENDENT CLAIMS] | | | | | | | |

- ☒ A check in the amount of \$ 2,130 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ to cover Assignment Recordation fee is enclosed.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gary K. Michelson

Serial No: N/A

Filed: April 19, 2000

For: ARTIFICIAL HEMI-LUMBAR INTERBODY
SPINAL IMPLANT HAVING AN
ASYMMETRICAL LEADING END AND
METHOD FOR INSTALLATION THEREOF

Art Unit: N/A

Examiner: N/A

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL399233704US

Date of Deposit: April 19, 2000

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ Two copies of a letter of transmittal
- ☒ Check in amount of \$ 2,130.00 as filing fee
- ☒ Patent application (37 page(s) of specification; 100 claim(s); 1 page(s) of abstract
- ☒ 6 sheet(s) of informal drawings
- ☒ Executed Declaration and Power of Attorney
- ☒ Information Disclosure Statement and Form PTO 1449 (with references)
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

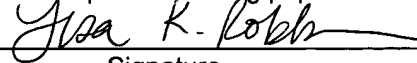
Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Date: April 19, 2000

14500 Avion Parkway, Suite 300
Chantilly, Virginia 20151-1101
Telephone: 703-679-9300
Facsimile: 703-679-9303

Lisa K. Robbins

Name of person mailing papers



Signature